PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE and to a collection of information upless it displays a valid OMB control acceptance. Under the Paperwork Reduction Act of 1995, no persons are requ

## UTILITY PATENT APPLICATION **TRANSMITTAL**

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Attorney Docket No.		19036/39735					
First Inventor		Koichi Takahara					
Title	YELLOW PIGMENT COMPOSITION FOR IMAGE RECORDING AND PROCESS FOR PRODUCING THE SAME						

יו	only for new non	DIOVISIONIC	и аррисс	mons under 37 CFR 1.33(b)	, <del></del>								
Ĺ					Expres	s Ma	il Labe	el No.	EV 3	409124	199	US	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.				ΑĽ	DDRI	ESS T	O. Co	D. Box 1	ner 1 450	lication for Patents 22313-1450			
1. X Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)  2. Applicant claims small entity status. See 37 CFR 1.27.  3. X Specification [Total Pages 46]]  (preferred arrangement set forth below)  - Descriptive title of the invention  - Cross Reference to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to sequence listing, a table, or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention				7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i. CD-ROM or CD-R (2 copies); or ii. Paper  c. Statements verifying identity of above copies  ACCOMPANYING APPLICATION PARTS									
4.	- Detail - Claim - Abstra	ed Descrip (s) act of the D	otion Disclosure			9. 10. 11.		37 CFR (when th	3.73(b) S nere is an	tatement assignee	)	et & document(s))  Power of Attorney  if applicable)	
	Oath or Declar	(s) (35 U.S.C. 113) [Total Sheets ] ation [Total Sheets ]			]	12.		Informat	ion Disclo		ſ	Copies of IDS Citations	
a. Newly executed (original or copy)  b. Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)  i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).				13. X Preliminary Amendment  14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15. X Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  17. Other:									
6.	X Application	on Data :	Sheet. S	See 37 CFR 1.76			نـــا						
Sp Fo	18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) of prior application No.:  Prior application information: Examiner Art Unit:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  19. CORRESPONDENCE ADDRESS												
	X Custome	er Number: 04743			3			OR		Correspo	onder	nce address below	
Na	MARSHALL, GERSTEIN & BORUN LLP Richard H. Anderson												
Address 233 S. Wacker Drive, Suite 6300 Sears Tower							7177						
Ci		Chica	go		State	<u>IL</u>				Zip Code	_	60606-6357	
Co	ountry	US			Telephone	(31	2) 47	<b>74-630</b>	0	F	ax	(312) 474-0448	
	Name (Print∕1	уре)	Rich	ard H. Anderson			Regis	tration N	o. (Attorn	ey/Agent)	) ]	26,526	]
Signature Villa N. O. S. C. A. C.								Date	No	ovember 25, 2003			

I hereby certify that this correspondence in an envelope addressed to: MS Pate	e is being deposited with the U.S. Postal Service as Express Ma nt Application, Commissioner for Perents P.O. Pox 1450, Alexar	il, Airbill No. EV 340912499 US
date shown below.		idia, 177 22010 1400, 011 tilo
Dated: November 25, 2003	Signature I dral	(Dichard Zimmamana)

PTO/SB/17 (10-03)
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## FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

Complete if Known					
Application Number	Not Yet Assigned				
Filing Date	Concurrently Herewith				
First Named Inventor	Koichi Takahara				
Examiner Name	Not Yet Assigned				
Art Unit	Not Yet Assigned				
Attorney Docket No.	19036/39735				

TOTAL A	MOUNT OF PAY	MENT (\$)	770.00		Attom	mey Docket No. 19036/39735				
METHOD OF PAYMENT (check all that apply)							FEE	CALCU	LATION (continued)	
X Check Credit Money Order Other None					DDITIO	ONAL	FEES			·
Dep	osit Account:									
Deposit Account	12	2855		Large Fee	Entity	Small Fee	Entity	-		
Number		-2000	]	Code	(\$)	Code	(\$)		Fee Description	Fee Paid
Deposit Account		, GERSTEIN & JN LLP		1051	130	2051	65		e - late filing fee or oath	
Name The Direct	or is authorized to: (cl	· · · · · · · · · · · · · · · · · · ·		1052	50	2052	25	Surcharge sheet.	e – late provisional filing fee or cover	
Charg	e fee(s) indicated below	X Credit any o	verpayments	1053	130	1053	130	Non-Engli	sh specification	
Charg	e any additional fee(s) o	r any underpayment of	fee(s)	1812	2,520	1812	2,520	For filing a	request for ex parte reexamination	
Charg	e fee(s) indicated below,	, except for the filing f	ee	1804	920*	1804	920*	Examiner	ng publication of SIR prior to action	
to the abov	e-identified deposit ac	count.		1805	1,840*	1805	1,840*	Requestin Examiner	ng publication of SIR after	
	FEE CALC	CULATION		1251	110	2251	55		for reply within first month	
1. BASIC	FILING FEE			1252	420	2252	210	Extension	for reply within second month	
Large Entit				1253	950	2253	475	Extension	for reply within third month	
Fee Fee Code (\$)		Fee Description	Fee Paid	1254	1,480	2254	740	Extension	for reply within fourth month	
1001 770		Utility filing fee	770.00	1255	2,010	2255	1,005	Extension	for reply within fifth month	
1002 340	2002 170 [	Design filing fee		1401	330	2401	165	Notice of	Appeal	
1003 530	2003 265 F	Plant filing fee		1402	330	2402	165	Filing a br	ief in support of an appeal	
1004 770	2004 385 F	Reissue filing fee		1403	290	2403	145	Request for	or oral hearing	
1005 160	2005 80 F	Provisional filing fee		1451	1,510	1451	1,510	Petition to	institute a public use proceeding	
SUBTOTAL (1) (\$) 770.00				1452	110	2452	55	Petition to	revive – unavoidable	
		AZ (1) [ (#)	770.00	1453	1,330	2453	665	Petition to	revive - unintentional	,
2. EXTR	CLAIM FEES FO		REISSUE	1501	1,330	2501	665	Utility issu	e fee (or reissue)	
		tra Fee from aims below	Fee Paid	1502	480	2502	240	Design iss	sue fee	
Total Claims	8 -20** =	x =		1503	640	2503	320	Plant issu	e fee	
Independent Claims	2 -3** =	x =		1460	130	1460	130	Petitions t	o the Commissioner	
Multiple Dep	endent	=		1807	50	1807	50	Processin	g fee under 37 CFR 1.17(q)	
Large Entit	y Small Entity			1806	180	1806	180	Submissio	on of Information Disclosure Stmt	
Fee Fee Code (\$)		Fee Descriptio	<u>n</u>	8021	40	8021	40		each patent assignment per times number of properties)	
1202 18	ı	ns in excess of 20		1809	770	2809	385	Filing a su (37 CFR 1	ibmission after final rejection	
1201 86 1203 290		endent claims in exce ple dependent claim, i		1810	770	2810	385	For each	additional invention to be (37CFR 1.129(b))	
1204 86		issue independent cla	ims	1801	770	2801	385		or Continued Examination (RCE)	
1205 18		er original patent issue claims in exces:	s of 20	1802	900	1802	900		or expedited examination n application	
		d over original patent	LU	Other f	ee (spe	cify)		u uusig	«բր»	
	SUBTOTAL (2) (\$) 0.00						ling Fee	Paid	SUBTOTAL (3) (\$)	0.00
**or numbe	er previously paid, if gre								- (**/ [3:7]	
SUDMITTE					(Complete (if annies blak)					

30BMITTED B1				(Complete	(п аррисавіе))
Name (Print/Type)	Richard H. Anderson	Registration No. (Attorney/Agent)	26,526	Telephone	(312) 474-9556
Signature	filmed M. anderson			Date	November 25, 2003

I hereby certify that this corresponder	nce is being deposited with the	U.S. Postal Sen	vice as Express Mail,	Airbill No. EV 340912499 US
in an envelope addressed to: MS Pa	tent Application, Commissioner	for Parents, FX	D. Box #450, Alexand	Iria, VA 22313-1450, on the
in an envelope addressed to: MS Pa date shown below.				

Dated: November 25, 2003

Signature: Ald A

(Richard Zimmermann)

pplication No. (if	known):	Attorney Docket No.: 19036/3973
Cert	ificate of Express	Mailing Under 37 CFR 1.10
l hereby Express	certify that this correspondence i Mail, Airbill No. EV 340912499 L	s being deposited with the United States Postal Service as IS in an envelope addressed to:
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on	November 25, 2003  Date	
	•	Signature d Zimmermann
		e of person signing Certificate
Note:	Each paper must have its own ceach submitted paper.  Application Data Sheet	ertificate of mailing, or this certificate must identify .
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